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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

1

**Complete If Known**

Application Number	Not assigned
Filing Date	March 8, 2002
First Named Inventor	HAWKINS, Peter A.
Group Art Unit	Not assigned 2155
Examiner Name	Not assigned BAROT

Attorney Docket Number

2207/13697

3/6/2002  
10/092793  
U.S. PTO  
03/08/02**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
BB		Sun Microsystems, Presentation to the PICMG 2.13 Working Group, "Managed Redundant System Slot Operations" [No Date]	
BB		Intel Corp., Hewlett-Packard Co., NEC Corp., Dell Computer Corp., "IPMI: Intelligent Platform Management Interface Specification", v1.5, Document Revision 1.0, Chapters 1-3, pages 1-29, February 21, 2001	
BB		Intel Corp., product description: "Intel® NetStructure™ ZT 7101 Chassis Management Module", 2001	

Examiner Signature	Bhaxat Barot	Date Considered	03/01/2005
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>3</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

*Complete if Known*

Application Number	10/092,793
Filing Date	March 8, 2002
First Named Inventor	HAWKINS et al
Group Art Unit	2152-2155
Examiner Name	Not assigned

Attorney Docket Number 2207/13697

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INDEXED  
JUL 12 2002  
Patent & Trademark Center 2100*

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/B/B		Intel Corporation, Presentation to the PICMG Working Group, "Proposal for RASM Sub Team Consideration", 2001	
/B/B		System Management Bus (SMBus) Specification, Version 2.0, SBS Implementers Forum, August 3, 2000	
/B/B		"The I <sup>2</sup> C Faq", The I <sup>2</sup> C Faq On-Line; <a href="http://www.ping.be/~ping0751/i2cfaq/">http://www.ping.be/~ping0751/i2cfaq/</a> [No Date]	
/B/B		U.S. Patent Application Serial No. 10/094,629, filed March 12, 2002	
/B/B		U.S. Patent Application Serial No. 10/014,904, filed December 14, 2001	

Examiner Signature	Bharat Bassat	Date Considered	03/01/2005
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11/21/2003

**FORM PTO-1449  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT(S)**



Atty Docket No.: 2207/13697  
 Serial No. : 10/092,793  
 Applicants : Peter A. Hawkins  
 Filed : 8 March 2002  
 Group Art Unit : 2152 - 215  
 Examiner : (Unknown) BAROT

**U.S. PATENT DOCUMENTS**

<u>Examiner Initial</u>	<u>Patent Number</u>	<u>Patent Date</u>	<u>Name</u>	<u>Class/Subclass</u>	<u>Filing Date</u>
<u>B/B</u>	4,141,066	02/20/79	KEILES	364/119	
<u>B/B</u>	5,644,700	07/01/97	DICKSON et al.	395/182.07	
<u>B/B</u>	6,085,333	07/04/00	DeKONING et al.	714/7	

**FOREIGN PATENT DOCUMENTS**

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Country</u>	<u>Class/Subclass</u>	<u>Translation Yes</u> <u>No</u>
<u>B/B</u>	0 478 294	04/01/92	EPO		N/A

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**OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages, Etc.)

NOV 25 2003

Examiner Initial Technology Center 2100

B/B 1. HERZ M: *Remote system management principles*, PROCEEDINGS IEEE INTERNATIONAL CONFERENCE ON CLUSTER COMPUTING, CLUSTER 2000, Chemnitz, Germany, 28 Nov.-1 Dec. 2000, pages 137-138, Los Alamitos, CA

**EXAMINER**

**DATE CONSIDERED**

Bharat Barot

03/01/2005

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